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# Hypercyclic and mixing operator semigroups

Stanislav Shkarin

## Abstract

We describe a class of topological vector spaces admitting a mixing uniformly continuous operator group  $\{T_t\}_{t \in \mathbb{C}^n}$  with holomorphic dependence on the parameter  $t$ . This result covers those existing in the literature. We also describe a class of topological vector spaces admitting no supercyclic strongly continuous operator semigroups  $\{T_t\}_{t \geq 0}$ .

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**Keywords:** Hypercyclic operators; supercyclic operators; hypercyclic semigroups; mixing semigroups

## 1 Introduction

Unless stated otherwise, all vector spaces in this article are over the field  $\mathbb{K}$ , being either the field  $\mathbb{C}$  of complex numbers or the field  $\mathbb{R}$  of real numbers and all topological spaces *are assumed to be Hausdorff*. As usual,  $\mathbb{Z}$  is the set of integers,  $\mathbb{Z}_+$  is the set of non-negative integers,  $\mathbb{N}$  is the set of positive integers and  $\mathbb{R}_+$  is the set of non-negative real numbers. Symbol  $L(X, Y)$  stands for the space of continuous linear operators from a topological vector space  $X$  to a topological vector space  $Y$ . We write  $L(X)$  instead of  $L(X, X)$  and  $X'$  instead of  $L(X, \mathbb{K})$ .  $X'_\sigma$  is  $X'$  with the weak topology  $\sigma$ , being the weakest topology on  $X'$  making the maps  $f \mapsto f(x)$  from  $X'$  to  $\mathbb{K}$  continuous for all  $x \in X$ . For any  $T \in L(X)$ , the dual operator  $T' : X' \rightarrow X'$  is defined as usual:  $(T'f)(x) = f(Tx)$  for  $f \in X'$  and  $x \in X$ . Clearly  $T' \in L(X'_\sigma)$ . For a subset  $A$  of a vector space  $X$ ,  $\text{span}(A)$  stands for the linear span of  $A$ . For brevity, we say *locally convex space* for a locally convex topological vector space. A subset  $B$  of a topological vector space  $X$  is called *bounded* if for any neighborhood  $U$  of zero in  $X$ , a scalar multiple of  $U$  contains  $B$ . The topology  $\tau$  of a topological vector space  $X$  is called *weak* if  $\tau$  is exactly the weakest topology making each  $f \in Y$  continuous for some linear space  $Y$  of linear functionals on  $X$  separating points of  $X$ . An  $\mathcal{F}$ -space is a complete metrizable topological vector space. A locally convex  $\mathcal{F}$ -space is called a *Fréchet space*. Symbol  $\omega$  stands for the space of all sequences  $\{x_n\}_{n \in \mathbb{Z}_+}$  in  $\mathbb{K}$  with coordinatewise convergence topology. We denote the linear subspace of  $\omega$  consisting of sequences  $x$  with finite support  $\{n \in \mathbb{Z}_+ : x_n \neq 0\}$  by  $\varphi$ . If  $X$  is a topological vector space, then  $A \subset X'$  is called *equicontinuous* if there is a neighborhood  $U$  of zero in  $X$  such that  $|f(x)| \leq 1$  for any  $x \in U$  and  $f \in A$ .

Let  $X$  and  $Y$  be topological spaces and  $\{T_a : a \in A\}$  be a family of continuous maps from  $X$  to  $Y$ . An element  $x \in X$  is called *universal* for this family if  $\{T_a x : a \in A\}$  is dense in  $Y$  and  $\{T_a : a \in A\}$  is said to be *universal* if it has a universal element. An *operator semigroup* on a topological vector space  $X$  is a family  $\{T_t\}_{t \in A}$  of operators from  $L(X)$  labeled by elements of an abelian monoid  $A$  and satisfying  $T_0 = I$ ,  $T_{s+t} = T_t T_s$  for any  $t, s \in A$ . A *norm* on  $A$  is a function  $|\cdot| : A \rightarrow [0, \infty)$  satisfying  $|na| = n|a|$  and  $|a + b| \leq |a| + |b|$  for any  $n \in \mathbb{Z}_+$  and  $a, b \in A$ . An abelian monoid equipped with a norm is a *normed semigroup*. We are mainly concerned with the case when  $A$  is a closed additive subsemigroup of  $\mathbb{R}^k$  containing 0 with the norm  $|a|$  being the Euclidean distance from  $a$  to 0. In the latter case  $A$  carries the topology inherited from  $\mathbb{R}^k$  and an operator semigroup  $\{T_t\}_{t \in A}$  is called *strongly continuous* if the map  $t \mapsto T_t x$  from  $A$  to  $X$  is continuous for any  $x \in X$ . We say that an operator semigroup  $\{T_t\}_{t \in A}$  is *uniformly continuous* if there is a neighborhood  $U$  of zero in  $X$  such that for any sequence  $\{t_n\}_{n \in \mathbb{Z}_+}$  in  $A$  converging to  $t \in A$ ,  $T_{t_n} x$  converges to  $T_t x$  uniformly on  $U$ . Clearly, uniform continuity is strictly stronger than strong continuity. If  $A$  is a normed semigroup and  $\{T_t\}_{t \in A}$  is an operator semigroup on a topological vector space  $X$ , then we say that  $\{T_t\}_{t \in A}$  is

*mixing* if for any non-empty open subsets  $U, V$  of  $X$ , there is  $r > 0$  such that  $T_t(U) \cap V \neq \emptyset$  provided  $|t| > r$ . We say that  $\{T_t\}_{t \in A}$  is *hypercyclic* (respectively, *supercyclic*) if the family  $\{T_t : t \in A\}$  (respectively,  $\{zT_t : z \in \mathbb{K}, t \in A\}$ ) is universal.  $\{T_t\}_{t \in A}$  is said to be *hereditarily hypercyclic* if for any sequence  $\{t_n\}_{n \in \mathbb{Z}_+}$  in  $A$  satisfying  $|t_n| \rightarrow \infty$ ,  $\{T_{t_n} : n \in \mathbb{Z}_+\}$  is universal.  $T \in L(X)$  is called *hypercyclic*, *supercyclic*, *hereditarily hypercyclic* or *mixing* if the semigroup  $\{T^n\}_{n \in \mathbb{Z}_+}$  has the same property. Hypercyclic and supercyclic operators have been intensely studied during last few decades, see [2] and references therein. Recall that a topological space  $X$  is called a *Baire space* if the intersection of countably many dense open subsets of  $X$  is dense in  $X$ . By the classical Baire theorem, complete metric spaces are Baire.

**Proposition 1.1.** *Let  $X$  be a topological vector space and  $A$  be a normed semigroup. Then any hereditarily hypercyclic operator semigroup  $\{T_a\}_{a \in A}$  on  $X$  is mixing. If  $X$  is Baire separable and metrizable, then the converse implication holds: any mixing operator semigroup  $\{T_a\}_{a \in A}$  on  $X$  is hereditarily hypercyclic.*

The above proposition is a combination of well-known facts, appearing in the literature in various modifications. In the next section we prove it for sake of completeness. It is worth noting that for any subsemigroup  $A_0$  of  $A$ , not lying in the kernel of the norm,  $\{T_t\}_{t \in A_0}$  is mixing if  $\{T_t\}_{t \in A}$  is mixing. In particular, if  $\{T_t\}_{t \in A}$  is mixing, then  $T_t$  is mixing whenever  $|t| > 0$ .

The question of existence of supercyclic or hypercyclic operators or semigroups on various types of topological vector spaces was intensely studied. The fact that there are no hypercyclic operators on any finite dimensional topological vector space goes back to Rolewicz [22]. The last result in this direction is due to Wengenroth [26], who proved that a hypercyclic operator on any topological vector space (locally convex or not) has no closed invariant subspaces of positive finite codimension, while any supercyclic operator has no closed invariant subspaces of finite  $\mathbb{R}$ -codimension  $> 2$ . In particular, his result implies the (already well known by then) fact that there are no supercyclic operators on a finite dimensional topological vector space of  $\mathbb{R}$ -dimension  $> 2$ . Herzog [14] proved that there is a supercyclic operator on any separable infinite dimensional Banach space. Ansari [1] and Bernal-González [5], answering a question raised by Herrero, showed independently that any separable infinite dimensional Banach space supports a hypercyclic operator. Using the same idea as in [1], Bonet and Peris [9] proved that there is a hypercyclic operator on any separable infinite dimensional Fréchet space and demonstrated that there is a hypercyclic operator on the inductive limit  $X$  of a sequence  $\{X_n\}_{n \in \mathbb{Z}_+}$  of separable Banach spaces provided  $X_0$  is dense in  $X$ . Grivaux [17] observed that hypercyclic operators  $T$  in [1, 5, 9] are mixing and therefore hereditarily hypercyclic. They actually come from the same source. Namely, according to Salas [23], an operator of the shape  $I + T$ , where  $T$  is a backward weighted shift on  $\ell_1$ , is hypercyclic. Virtually the same proof demonstrates that these operators are mixing. Moreover, all operators constructed in the above cited papers are hypercyclic or mixing because of a quasisimilarity with an operator of the shape identity plus a backward weighted shift. A similar idea was used by Bermúdez, Bonilla and Martínón [4] and Bernal-González and Grosse-Erdmann [6], who proved that any separable infinite dimensional Banach space supports a hypercyclic strongly continuous semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$ . Bermúdez, Bonilla, Conejero and Peris [3] proved that on any separable infinite dimensional complex Banach space  $X$ , there is a mixing strongly continuous semigroup  $\{T_t\}_{t \in \mathbb{C}}$  such that the map  $t \mapsto T_t$  is holomorphic. Finally, Conejero [11] proved that any separable infinite dimensional complex Fréchet space  $X$  non-isomorphic to  $\omega$  supports a mixing operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  such that  $T_{t_n}x$  uniformly converges to  $T_t x$  for  $x$  from any bounded subset of  $X$  whenever  $t_n \rightarrow t$ .

**Definition 1.2.** We say that a topological vector space  $X$  belongs to the class  $\mathfrak{M}_0$  if there is a dense subspace  $Y$  of  $X$  admitting a topology  $\tau$  stronger than the one inherited from  $X$  and such that  $(Y, \tau)$  is a separable  $\mathcal{F}$ -space. We say that  $X$  belongs to  $\mathfrak{M}_1$  if there is a linearly independent equicontinuous sequence  $\{f_n\}_{n \in \mathbb{Z}_+}$  in  $X'$ . Finally,  $\mathfrak{M} = \mathfrak{M}_0 \cap \mathfrak{M}_1$ .

**Remark 1.3.** Obviously,  $X \in \mathfrak{M}_1$  if and only if there exists a continuous seminorm  $p$  on  $X$  such that  $\ker p = p^{-1}(0)$  has infinite codimension in  $X$ . In particular, a locally convex space  $X$  belongs to  $\mathfrak{M}_1$  if and only if its topology is not weak.

## 1.1 Results

The following theorem extracts the maximum of the method both in terms of the class of spaces and semigroups. Although the general idea remains the same, the proof requires dealing with a number of technical details of various nature.

**Theorem 1.4.** *Let  $X \in \mathfrak{M}$ . Then for any  $k \in \mathbb{N}$ , there exists a uniformly continuous hereditarily hypercyclic (and therefore mixing) operator group  $\{T_t\}_{t \in \mathbb{K}^k}$  on  $X$  such that the map  $z \mapsto f(T_z x)$  from  $\mathbb{K}^k$  to  $\mathbb{K}$  is analytic for each  $x \in X$  and  $f \in X'$ .*

Since for any hereditarily hypercyclic semigroup  $\{T_t\}_{t \in \mathbb{K}^k}$  and any non-zero  $t \in \mathbb{K}^k$ ,  $T_t$  is hereditarily hypercyclic, Theorem 1.4 provides a hereditarily hypercyclic operator on each  $X \in \mathfrak{M}$ . Obviously, any separable  $\mathcal{F}$ -space belongs to  $\mathfrak{M}_0$ . It is well-known [24] that the topology on a Fréchet space  $X$  differs from the weak topology if and only if  $X$  is infinite dimensional and it is non-isomorphic to  $\omega$ . Thus any separable infinite dimensional Fréchet space non-isomorphic to  $\omega$  belongs to  $\mathfrak{M}$ . The latter fact is also implicitly contained in [9]. Similarly, an infinite dimensional inductive limit  $X$  of a sequence  $\{X_n\}_{n \in \mathbb{Z}_+}$  of separable Banach spaces belongs to  $\mathfrak{M}$  provided  $X_0$  is dense in  $X$ . Thus all the above mentioned existence theorems are particular cases of Theorem 1.4. The following proposition characterizes  $\mathcal{F}$ -spaces in the class  $\mathfrak{M}$ .

**Proposition 1.5.** *Let  $X$  be an  $\mathcal{F}$ -space. Then  $X$  belongs to  $\mathfrak{M}$  if and only if  $X$  is separable and the algebraic dimension of  $X'$  is uncountable.*

Proposition 1.5 ensures that Theorem 1.4 can be applied to a variety of not locally convex  $\mathcal{F}$ -spaces including  $\ell_p$  with  $0 < p < 1$ . We briefly outline the main idea of the proof of Theorem 1.4 because it is barely recognizable in the main text, where the intermediate results are presented in much greater generality than strictly necessary. Consider the completion of the  $k^{\text{th}}$  projective tensor power of  $\ell_1$ :  $X = \ell_1 \widehat{\otimes} \dots \widehat{\otimes} \ell_1$  and  $T_1, \dots, T_k \in L(X)$  of the shape  $T_j = I \otimes \dots \otimes I \otimes S_j \otimes I \otimes \dots \otimes I$ , where  $S_j \in L(\ell_1)$  is a backward weighted shift sitting in  $j^{\text{th}}$  place. Since  $T_j$  are pairwise commuting, we have got a uniformly continuous operator group  $\{e^{\langle z, T \rangle}\}_{z \in \mathbb{K}^k}$  on  $X$ , where  $\langle z, T \rangle = z_1 T_1 + \dots + z_k T_k$ . We show that  $\{e^{\langle z, T \rangle}\}_{z \in \mathbb{K}^k}$  is hereditarily hypercyclic. The class  $\mathfrak{M}$  turns out to be exactly the class of topological vector spaces to which such a group can be transferred by means of quasisimilarity.

The following theorem is kind of an opposite of Theorem 1.4.

**Theorem 1.6.** *There are no supercyclic strongly continuous operator semigroups  $\{T_t\}_{t \in \mathbb{R}_+}$  on a topological vector space  $X$  if either  $2 < \dim_{\mathbb{R}} X < 2^{\aleph_0}$  or  $2 < \dim_{\mathbb{R}} X' < 2^{\aleph_0}$ .*

Since  $\dim \omega' = \aleph_0$ , Theorem 1.6 implies that there are no supercyclic strongly continuous operator semigroups  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $\omega$ , which is a stronger version of a result in [11]. This observation together with Theorem 1.4 imply the following curious result.

**Corollary 1.7.** *For a separable infinite dimensional Fréchet space  $X$ , the following are equivalent:*

- (1.7.1) *for each  $k \in \mathbb{N}$ , there is a mixing uniformly continuous operator group  $\{T_t\}_{t \in \mathbb{R}^k}$  on  $X$ ;*
- (1.7.3) *there is a supercyclic strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $X$ ;*
- (1.7.4)  *$X$  is non-isomorphic to  $\omega$ .*

## 2 Extended backward shifts

Godefroy and Shapiro [16] introduced the notion of a generalized backward shift. Namely, a continuous linear operator  $T$  on a topological vector space  $X$  is called a *generalized backward shift* if the union of  $\ker T^n$  for  $n \in \mathbb{N}$  is dense in  $X$  and  $\ker T$  is one-dimensional. We say that  $T$  is an *extended backward shift* if the linear span of the union of  $T^n(\ker T^{2n})$  is dense in  $X$ . Using an easy dimension argument [16] one can show that any generalized backward shift is an extended backward shift. It is worth noting

[2, Theorem 2.2] that for any extended backward shift  $T$ ,  $I + T$  is mixing. We need a multi-operator analog of this concept.

Let  $X$  be a topological vector space. We say that  $T = (T_1, \dots, T_k) \in L(X)^k$  is a  $EBS_k$ -tuple if  $T_m T_j = T_j T_m$  for  $1 \leq j, m \leq k$  and  $\ker^\dagger(T)$  is dense in  $X$ , where

$$\ker^\dagger(T) = \text{span} \bigcup_{n \in \mathbb{N}^k} \varkappa(n, T) \quad \text{and} \quad \varkappa(n, T) = T_1^{n_1} \dots T_k^{n_k} \left( \bigcap_{j=1}^k \ker T_j^{2n_j} \right). \quad (2.1)$$

## 2.1 Shifts on finite dimensional spaces

The following two lemmas are implicitly contained in the proof of Theorem 5.2 in [13]. For sake of convenience, we provide their proofs.

**Lemma 2.1.** *For each  $n \in \mathbb{N}$  and  $z \in \mathbb{C} \setminus \{0\}$ , the matrix  $A_{n,z} = \left\{ \frac{z^{j+k-1}}{(j+k-1)!} \right\}_{j,k=1}^n$  is invertible.*

*Proof.* Invertibility of  $A_{n,1}$  is proved in [2, Lemma 2.7]. For  $z \in \mathbb{C}$ , consider the diagonal  $n \times n$  matrix  $D_{n,z}$  with the entries  $(1, z, \dots, z^{n-1})$  on the main diagonal. Clearly

$$A_{n,z} = z D_{n,z} A_{n,1} D_{n,z} \quad \text{for any } z \in \mathbb{C}. \quad (2.2)$$

Since  $A_{n,1}$  and  $D_{n,z}$  for  $z \neq 0$  are invertible,  $A_{n,z}$  is invertible for any  $n \in \mathbb{N}$  and  $z \in \mathbb{C} \setminus \{0\}$ .  $\square$

**Lemma 2.2.** *Let  $n \in \mathbb{N}$ ,  $e_1, \dots, e_{2n}$  be the canonical basis of  $\mathbb{K}^{2n}$ ,  $S \in L(\mathbb{K}^{2n})$  be defined by  $Se_1 = 0$  and  $Se_k = e_{k-1}$  for  $2 \leq k \leq 2n$  and  $P$  be the linear projection on  $\mathbb{K}^{2n}$  onto  $E = \text{span}\{e_1, \dots, e_n\}$  along  $F = \text{span}\{e_{n+1}, \dots, e_{2n}\}$ . Then for any  $z \in \mathbb{K} \setminus \{0\}$  and  $u, v \in E$ , there exists a unique  $x^z = x^z(u, v) \in \mathbb{K}^{2n}$  such that*

$$Px^z = u \quad \text{and} \quad Pe^{zS}x^z = v. \quad (2.3)$$

Moreover, for any bounded subset  $B$  of  $E$  and any  $\varepsilon > 0$ , there is  $c = c(\varepsilon, B) > 0$  such that

$$\sup_{u,v \in B} |(x^z(u, v))_{n+j}| \leq c|z|^{-j} \quad \text{for } 1 \leq j \leq n \text{ and } |z| \geq \varepsilon; \quad (2.4)$$

$$\sup_{u,v \in B} |(e^{zS}x^z(u, v))_{n+j}| \leq c|z|^{-j} \quad \text{for } 1 \leq j \leq n \text{ and } |z| \geq \varepsilon. \quad (2.5)$$

In particular,  $x^z(u, v) \rightarrow u$  and  $e^{zS}x^z(u, v) \rightarrow v$  as  $|z| \rightarrow \infty$  uniformly for  $u$  and  $v$  from any bounded subset of  $E$ .

*Proof.* Let  $u, v \in E$  and  $z \in \mathbb{K} \setminus \{0\}$ . For  $y \in \mathbb{K}^{2n}$  we denote  $\bar{y} = (y_{n+1}, \dots, y_{2n}) \in \mathbb{K}^n$ . One easily sees that (2.3) is equivalent to the vector equation

$$A_{n,z} \bar{x}^z = w^z, \quad (2.6)$$

where  $A_{n,z}$  is the matrix from Lemma 2.1 and  $w^z = w^z(u, v) \in \mathbb{K}^n$  is defined as

$$w_j^z = v_{n-j+1} - \sum_{k=n-j+1}^n \frac{z^{k+j-n-1} u_k}{(k+j-n-1)!} \quad \text{for } 1 \leq j \leq n, \quad (2.7)$$

provided we set  $x_j = u_j$  for  $1 \leq j \leq n$ . By Lemma 2.1,  $A_{n,z}$  is invertible for any  $z \neq 0$  and therefore (2.6) is uniquely solvable. Thus there exists a unique  $x^z = x^z(u, v) \in \mathbb{K}^{2n}$  satisfying (2.3). It remains to verify (2.4) and (2.5). By (2.7), for any bounded subset  $B$  of  $E$  and any  $\varepsilon > 0$ , there is  $a = a(\varepsilon, B) > 0$  such that

$$|(w^z(u, v))_j| \leq a|z|^{j-1} \quad \text{if } u, v \in B, |z| \geq \varepsilon \text{ and } 1 \leq j \leq n. \quad (2.8)$$

By (2.8),  $\{D_{n,z}^{-1}w^z(u, v) : |z| \geq \varepsilon, u, v \in B\}$  and therefore  $Q = \{A_{n,1}^{-1}D_{n,z}^{-1}w^z(u, v) : |z| \geq \varepsilon, u, v \in B\}$  are bounded in  $\mathbb{K}^n$ . Since by (2.6) and (2.2),  $\bar{x}^z = A_{n,z}^{-1}w^z = z^{-1}D_{n,z}^{-1}A_{n,1}^{-1}D_{n,z}^{-1}w^z$ , we have

$$(x^z(u, v))_{n+j} = \bar{x}_j^z \subseteq \{z^{-1}(D_{n,z}^{-1}y)_j : y \in Q\} \quad \text{if } |z| \geq \varepsilon, \text{ and } u, v \in B.$$

Boundedness of  $Q$  implies that (2.4) is satisfied with some  $c = c_1(\varepsilon, B)$ . Finally, since for  $1 \leq j \leq n$ , we have  $(e^{zS}x^z)_{n+j} = \sum_{l=n+j}^{2n} \frac{z^{l-n-j}x_l^z}{(l-n-j)!}$ , there is  $c = c_2(\varepsilon, B)$  for which (2.5) is satisfied. Hence (2.5) and (2.4) hold with  $c = \max\{c_1, c_2\}$ .  $\square$

**Corollary 2.3.** *Let  $n \in \mathbb{N}$ ,  $E \subseteq \mathbb{K}^{2n}$  and  $S \in L(\mathbb{K}^{2n})$  be as in Lemma 2.2. Then for any  $u, v \in E$  and any sequence  $\{z_j\}_{j \in \mathbb{Z}_+}$  in  $\mathbb{K}$  satisfying  $|z_j| \rightarrow \infty$ , there exists a sequence  $\{x_j\}_{j \in \mathbb{Z}_+}$  in  $\mathbb{K}^{2n}$  such that  $x_j \rightarrow u$  and  $e^{z_j S}x_j \rightarrow v$ .*

We need the following multi-operator version of Corollary 2.3.

**Lemma 2.4.** *Let  $k \in \mathbb{N}$ ,  $n_1, \dots, n_k \in \mathbb{N}$ , for each  $j \in \{1, \dots, k\}$  let  $e_1^j, \dots, e_{2n_j}^j$  be the canonical basis in  $\mathbb{K}^{2n_j}$ ,  $E_j = \text{span}\{e_1^j, \dots, e_{n_j}^j\}$  and  $S_j \in L(\mathbb{K}^{2n_j})$  be the backward shift:  $S_j e_1^j = 0$  and  $S_j e_l^j = e_{l-1}^j$  for  $2 \leq l \leq 2n_j$ . Let also  $X = \mathbb{K}^{2n_1} \otimes \dots \otimes \mathbb{K}^{2n_k}$ ,  $E = E_1 \otimes \dots \otimes E_k$  and*

$$T_j \in L(X), \quad T_j = I \otimes \dots \otimes I \otimes S_j \otimes I \otimes \dots \otimes I \quad \text{for } 1 \leq j \leq k,$$

where  $S_j$  sits in the  $j^{\text{th}}$  place. Finally, let  $\{z_m\}_{m \in \mathbb{Z}_+}$  be a sequence in  $\mathbb{K}^k$  satisfying  $|z_m| \rightarrow \infty$ . Then for any  $u, v \in E$ , there exists a sequence  $\{x_m\}_{m \in \mathbb{Z}_+}$  in  $X$  such that  $x_m \rightarrow u$  and  $e^{\langle z_m, T \rangle} x_m \rightarrow v$ , where  $\langle s, T \rangle = s_1 T_1 + \dots + s_k T_k$ .

*Proof.* Let  $\overline{\mathbb{K}} = \mathbb{K} \cup \{\infty\}$  be the one-point compactification of  $\mathbb{K}$ . Clearly it is enough to show that any sequence  $\{w_m\}$  in  $\mathbb{K}^k$  satisfying  $|w_m| \rightarrow \infty$  has a subsequence  $\{z_m\}$  for which the statement of the lemma is true. Since  $\overline{\mathbb{K}}^k$  is compact and metrizable, we can, without loss of generality, assume that  $\{z_m\}$  converges to  $w \in \overline{\mathbb{K}}^k$ . Since  $|z_m| \rightarrow \infty$ , the set  $C = \{j : w_j = \infty\}$  is non-empty. Without loss of generality, we may also assume that  $C = \{1, \dots, r\}$  with  $1 \leq r \leq k$ .

Denote by  $\Sigma$  the set of  $(u, v) \in X^2$  for which there is a sequence  $\{x_m\}_{m \in \mathbb{Z}_+}$  in  $X$  such that  $x_m \rightarrow u$  and  $e^{\langle z_m, T \rangle} x_m \rightarrow v$ . In this notation, the statement of the lemma is equivalent to the inclusion  $E \times E \subseteq \Sigma$ . Let  $u_j \in E_j$  for  $1 \leq j \leq k$  and  $u = u_1 \otimes \dots \otimes u_k$ . By Corollary 2.3, there exist sequences  $\{x_{j,m}\}_{m \in \mathbb{Z}_+}$  and  $\{y_{j,m}\}_{m \in \mathbb{Z}_+}$  in  $\mathbb{K}^{2n_j}$  such that

$$x_{j,m} \rightarrow 0, \quad e^{(z_m)_j S_j} x_{j,m} \rightarrow u_j, \quad y_{j,m} \rightarrow u_j \quad \text{and} \quad e^{(z_m)_j S_j} y_{j,m} \rightarrow 0 \quad \text{for } 1 \leq j \leq r.$$

We put  $x_{j,m} = e^{-w_j S_j} u_j$  and  $y_{j,m} = u_j$  for  $r < j \leq k$  and  $m \in \mathbb{Z}_+$ . Consider the sequences  $\{x_m\}_{m \in \mathbb{Z}_+}$  and  $\{y_m\}_{m \in \mathbb{Z}_+}$  in  $X$  defined by  $x_m = x_{1,m} \otimes \dots \otimes x_{k,m}$  and  $y_m = y_{1,m} \otimes \dots \otimes y_{k,m}$ . By definition of  $x_m$  and  $y_m$  and the above display,  $x_m \rightarrow 0$  and  $y_m \rightarrow u$ . For instance,  $x_m \rightarrow 0$  because  $\{x_{j,m}\}$  are bounded and  $x_{1,m} \rightarrow 0$ . Similarly, taking into account that  $(z_m)_j \rightarrow w_j$  for  $j > r$ , we see that  $e^{\langle z_m, T \rangle} x_m \rightarrow u$  and  $e^{\langle z_m, T \rangle} y_m \rightarrow 0$ . Hence  $(u, 0) \in \Sigma$  and  $(0, u) \in \Sigma$ . Thus  $(\{0\} \times E_0) \cup (E_0 \times \{0\}) \subseteq \Sigma$ , where  $E_0 = \{u_1 \otimes \dots \otimes u_k : u_j \in E_j, 1 \leq j \leq k\}$ . On the other hand,  $\text{span}(\{0\} \times E_0) \cup (E_0 \times \{0\}) = E \times E$ . Since  $\Sigma$  is a linear space,  $E \times E \subseteq \Sigma$ .  $\square$

For applications it is more convenient to reformulate the above lemma in the coordinate form.

**Corollary 2.5.** *Let  $k \in \mathbb{N}$ ,  $n_1, \dots, n_k \in \mathbb{N}$ ,  $N_j = \{1, \dots, 2n_j\}$  and  $Q_j = \{1, \dots, n_j\}$  for  $1 \leq j \leq k$ . Consider  $M = N_1 \times \dots \times N_k$  and  $M_0 = Q_1 \times \dots \times Q_k$ , let  $\{e_m : m \in M\}$  be the canonical basis of  $X = \mathbb{K}^M$  and  $E = \text{span}\{e_m : m \in M_0\}$ . For  $1 \leq j \leq k$ , let  $T_j \in L(X)$  be defined by  $T_j e_m = 0$  if  $m_j = 1$  and  $T_j e_m = e_{m'}$  if  $m_j > 1$ , where  $m'_l = m_l$  if  $l \neq j$ ,  $m'_j = m_j - 1$ . Then for any sequence  $\{z_m\}_{m \in \mathbb{Z}_+}$  in  $\mathbb{K}^k$  satisfying  $|z_m| \rightarrow \infty$  and any  $u, v \in E$ , there is a sequence  $\{x_m\}_{m \in \mathbb{Z}_+}$  in  $X$  such that  $x_m \rightarrow u$  and  $e^{\langle z_m, T \rangle} x_m \rightarrow v$ , where  $\langle s, T \rangle = s_1 T_1 + \dots + s_k T_k$ .*

## 2.2 The key lemma

**Lemma 2.6.** *Let  $X$  be a topological vector space,  $k \in \mathbb{N}$ ,  $n \in \mathbb{N}^k$  and  $A \in L(X)^k$  be such that  $A_j A_l = A_l A_j$  for  $1 \leq l, j \leq k$ . Then for each  $x$  from  $\varkappa(n, A)$  defined in (2.1), there is a common finite dimensional invariant subspace  $Y$  for  $A_1, \dots, A_k$  such that for any sequence  $\{z_m\}_{m \in \mathbb{Z}_+}$  in  $\mathbb{K}^k$  satisfying  $|z_m| \rightarrow \infty$ , there exist sequences  $\{x_m\}_{m \in \mathbb{Z}_+}$  and  $\{y_m\}_{m \in \mathbb{Z}_+}$  in  $Y$  for which*

$$x_m \rightarrow 0, \quad e^{A z_m} x_m \rightarrow x, \quad y_m \rightarrow x \quad \text{and} \quad e^{A z_m} y_m \rightarrow 0, \quad \text{where } A_s = (s_1 A_1 + \dots + s_k A_k)|_Y. \quad (2.9)$$

*Proof.* Since  $x \in \varkappa(n, T)$ , there is  $y \in X$  such that  $x = A_1^{n_1} \dots A_k^{n_k} y$  and  $A_j^{2n_j} y = 0$  for  $1 \leq j \leq k$ . Let  $N_j = \{1, \dots, 2n_j\}$  and  $Q_j = \{1, \dots, n_j\}$  for  $1 \leq j \leq k$ . Denote  $M = N_1 \times \dots \times N_k$  and  $M_0 = Q_1 \times \dots \times Q_k$ . Let  $h_l = A_1^{2n_1 - l_1} \dots A_k^{2n_k - l_k} y$  for  $l \in M$  and  $Y = \text{span}\{h_l : l \in M\}$ . Clearly  $Y$  is finite dimensional and  $A_j h_l = 0$  if  $l_j = 1$ ,  $A_j h_l = h_{l'}$  if  $l_j > 1$ , where  $l'_r = l_r$  for  $r \neq j$  and  $l'_j = l_j - 1$ . Hence  $Y$  is invariant for each  $A_j$ . Consider  $J \in L(\mathbb{K}^M, Y)$  defined by  $Je_l = h_l$  for  $l \in M$ . Let also  $E = \text{span}\{e_l : l \in M_0\}$  and  $T_j \in L(\mathbb{K}^M)$  be as in Corollary 2.5. Taking into account the definition of  $T_j$  and the action of  $A_j$  on  $h_l$ , we see that  $A_j J = J T_j$  for  $1 \leq j \leq k$ . Clearly  $n \in M_0$  and therefore  $e_n \in E$ . Since  $x = A_1^{n_1} \dots A_k^{n_k} y$ , we have  $x = h_n$ . By Corollary 2.5, there exist sequences  $\{u_m\}_{m \in \mathbb{Z}_+}$  and  $\{v_m\}_{m \in \mathbb{Z}_+}$  in  $\mathbb{K}^M$  such that  $u_m \rightarrow e_n$ ,  $e^{\langle z_m, T \rangle} u_m \rightarrow 0$ ,  $v_m \rightarrow 0$  and  $e^{\langle z_m, T \rangle} v_m \rightarrow e_n$ . Now let  $y_m = J u_m$  and  $x_m = J v_m$  for  $m \in \mathbb{Z}_+$ . Then  $\{x_m\}$  and  $\{y_m\}$  are sequences in  $Y$ . From the relations  $A_j J = J T_j$  and the fact that  $\mathbb{K}^M$  and  $Y$  are finite dimensional, it follows that  $x_m \rightarrow 0$ ,  $y_m \rightarrow J e_n = x$ ,  $e^{A z_m} x_m \rightarrow J e_n = x$  and  $e^{A z_m} y_m \rightarrow 0$ . Thus (2.9) is satisfied.  $\square$

From now on, if  $A = (A_1, \dots, A_k)$  is a  $k$ -tuple of continuous linear operators on a topological vector space  $X$  and  $z \in \mathbb{K}^k$ , we write

$$\langle z, A \rangle = z_1 A_1 + \dots + z_k A_k.$$

We also use the following convention. Let  $X$  be a topological vector space and  $S \in L(X)$ . By saying that  $e^S$  is well-defined, we mean that for each  $x \in X$ , the series  $\sum_{n=0}^{\infty} \frac{1}{n!} S^n x$  converges in  $X$  and defines a continuous linear operator denoted  $e^S$ .

**Corollary 2.7.** *Let  $X$  be a topological vector space,  $k \in \mathbb{N}$  and  $A \in L(X)^k$  be a  $k$ -tuple of pairwise commuting operators such that for any  $z \in \mathbb{K}^k$ ,  $e^{\langle z, A \rangle}$  is well-defined. Then for each  $x$  and  $y$  from the space  $\ker^\dagger(A)$  defined in (2.1) and any sequence  $\{z_m\}_{m \in \mathbb{Z}_+}$  in  $\mathbb{K}^k$  satisfying  $|z_m| \rightarrow \infty$ , there is a sequence  $\{u_m\}_{m \in \mathbb{Z}_+}$  in  $X$  such that  $u_m \rightarrow x$  and  $e^{\langle z_m, A \rangle} u_m \rightarrow y$ .*

*Proof.* Fix a sequence  $\{z_m\}_{m \in \mathbb{Z}_+}$  in  $\mathbb{K}^k$  satisfying  $|z_m| \rightarrow \infty$ . Let  $\Sigma$  be the set of  $(x, y) \in X^2$  for which there exists a sequence  $\{u_m\}_{m \in \mathbb{Z}_+}$  in  $X$  such that  $u_m \rightarrow x$  and  $e^{\langle z_m, A \rangle} u_m \rightarrow y$ . By Lemma 2.6,  $\varkappa(n, A) \times \{0\} \subseteq \Sigma$  and  $\{0\} \times \varkappa(n, A) \subseteq \Sigma$  for any  $n \in \mathbb{N}^k$ , where  $\varkappa(n, A)$  is defined in (2.1). On the other hand,  $\Sigma$  is a linear subspace of  $X \times X$ . Thus

$$\ker^\dagger(A) \times \ker^\dagger(A) = \text{span} \bigcup_{n \in \mathbb{N}^k} ((\varkappa(n, A) \times \{0\}) \cup (\{0\} \times \varkappa(n, A))) \subseteq \Sigma. \quad \square$$

## 2.3 Mixing semigroups and extended backward shifts

We start by proving Proposition 1.1. Proposition G is Proposition 1 in [18], while Theorem U can be found in [18, pp. 348–349].

**Proposition G.** *Let  $X$  be a topological space and  $\mathcal{F} = \{T_\alpha : \alpha \in A\}$  be a family of continuous maps from  $X$  to  $X$  such that  $T_\alpha T_\beta = T_\beta T_\alpha$  and  $T_\alpha(X)$  is dense in  $X$  for any  $\alpha, \beta \in A$ . Then the set of universal elements for  $\mathcal{F}$  is either empty or dense in  $X$ .*

**Theorem U.** *Let  $X$  be a Baire topological space,  $Y$  be a second countable topological space and  $\{T_a : a \in A\}$  be a family of continuous maps from  $X$  into  $Y$ . Then the set of universal elements for  $\{T_a : a \in A\}$  is dense in  $X$  if and only if  $\{(x, T_a x) : x \in X, a \in A\}$  is dense in  $X \times Y$ .*

*Proof of Proposition 1.1.* Assume that  $\{T_t\}_{t \in A}$  is hereditarily hypercyclic. That is,  $\{T_{t_n} : n \in \mathbb{Z}_+\}$  is universal for any sequence  $\{t_n\}_{n \in \mathbb{Z}_+}$  in  $A$  satisfying  $|t_n| \rightarrow \infty$ . Applying this to  $t_n = nt$  with  $t \in A$ ,  $|t| > 0$ , we see that  $T_t$  is hypercyclic. Since any hypercyclic operator has dense range [18],  $T_t(X)$  is dense in  $X$  if  $|t| > 0$ . Assume that  $\{T_t\}_{t \in A}$  is non-mixing. Then there are non-empty open subsets  $U$  and  $V$  of  $X$  and a sequence  $\{t_n\}_{n \in \mathbb{Z}_+}$  in  $A$  such that  $|t_n| \rightarrow \infty$  and  $|t_n| > 0$ ,  $T_{t_n}(U) \cap V = \emptyset$  for each  $n \in \mathbb{Z}_+$ . Since  $T_{t_n}$  have dense ranges and commute, Proposition G implies that the set  $W$  of universal elements for  $\{T_{t_n} : n \in \mathbb{Z}_+\}$  is dense in  $X$ . Hence we can pick  $x \in W \cap U$ . Since  $x$  is universal for  $\{T_{t_n} : n \in \mathbb{Z}_+\}$ , there is  $n \in \mathbb{Z}_+$  for which  $T_{t_n}x \in V$ . Hence  $T_{t_n}x \in T_{t_n}(U) \cap V = \emptyset$ . This contradiction completes the proof of the first part of Proposition 1.1.

Next, assume that  $X$  is Baire separable and metrizable,  $\{T_t\}_{t \in A}$  is mixing and  $\{t_n\}_{n \in \mathbb{Z}_+}$  is a sequence in  $A$  such that  $|t_n| \rightarrow \infty$ . By definition of mixing, for any non-empty open subsets  $U$  and  $V$  of  $X$ ,  $T_{t_n}(U) \cap V \neq \emptyset$  for all sufficiently large  $n \in \mathbb{Z}_+$ . Hence  $\{(x, T_{t_n}x) : x \in X, n \in \mathbb{Z}_+\}$  is dense in  $X \times X$ . By Theorem U,  $\{T_{t_n} : n \in \mathbb{Z}_+\}$  is universal.  $\square$

**Proposition 2.8.** *Let  $X$  be a topological vector space and  $A = (A_1, \dots, A_k) \in L(X)^k$  be a EBS $_k$ -tuple such that  $e^{\langle z, A \rangle}$  is well-defined for  $z \in \mathbb{K}^k$  and  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is an operator group. Then  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is mixing.*

*Proof.* Assume the contrary. Then we can find non-empty open subsets  $U$  and  $V$  of  $X$  and a sequence  $\{z_m\}_{m \in \mathbb{Z}_+}$  in  $\mathbb{K}^k$  such that  $|z_m| \rightarrow \infty$  and  $e^{\langle z_m, A \rangle}(U) \cap V = \emptyset$  for each  $m \in \mathbb{Z}_+$ . Let  $\Sigma$  be the set of  $(x, y) \in X^2$  for which there is a sequence  $\{x_m\}_{m \in \mathbb{Z}_+}$  in  $X$  such that  $x_m \rightarrow x$  and  $e^{\langle z_m, A \rangle}x_m \rightarrow y$ . By Corollary 2.7,  $\ker^\dagger(A) \times \ker^\dagger(A) \subseteq \Sigma$ . Since  $A$  is a EBS $_k$ -tuple,  $\ker^\dagger(A)$  is dense in  $X$  and therefore  $\Sigma$  is dense in  $X \times X$ . In particular,  $\Sigma$  meets  $U \times V$ , which is not possible since  $e^{\langle z_m, A \rangle}(U) \cap V = \emptyset$  for any  $m \in \mathbb{Z}_+$ . This contradiction shows that  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is mixing.  $\square$

**Theorem 2.9.** *Let  $X$  be a separable Banach space and  $(A_1, \dots, A_k) \in L(X)^k$  be a EBS $_k$ -tuple. Then  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is a hereditarily hypercyclic uniformly continuous operator group on  $X$ .*

*Proof.* Since  $A_j$  are pairwise commuting and  $X$  is a Banach space,  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is a uniformly continuous operator group. By Proposition 1.1, it suffices to verify that  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is mixing. It remains to apply Proposition 2.8.  $\square$

We will extend the above theorem to more general topological vector spaces. Recall that a subset  $A$  of a vector space is called *balanced* if  $zx \in A$  whenever  $x \in A$ ,  $z \in \mathbb{K}$  and  $|z| \leq 1$ . A subset  $D$  of a topological vector space  $X$  is called a *disk* if  $D$  is convex, balanced and bounded. For a disk  $D$ , the space  $X_D = \text{span}(D)$  is endowed with the norm, being the Minkowskii functional [24] of  $D$ . Boundedness of  $D$  implies that the norm topology of  $X_D$  is stronger than the topology inherited from  $X$ .  $D$  is called a *Banach disk* if the normed space  $X_D$  is complete. It is well-known [8] that a compact disk is a Banach disk.

**Lemma 2.10.** *Let  $X$  be a topological vector space,  $p$  be a continuous seminorm on  $X$ ,  $D \subset X$  be a Banach disk,  $q$  be the norm of  $X_D$ ,  $k \in \mathbb{N}$  and  $A \in L(X)^k$  be a  $k$ -tuple of pairwise commuting operators. Assume also that  $A_j(X) \subseteq X_D$  for  $1 \leq j \leq k$  and there is a  $a > 0$  such that  $q(A_jx) \leq ap(x)$  for any  $x \in X$  and  $1 \leq j \leq k$ . Then for each  $z \in \mathbb{K}^k$ ,  $e^{\langle z, A \rangle}$  is well-defined. Moreover,  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is a uniformly continuous operator group and the map  $z \mapsto f(e^{\langle z, A \rangle}x)$  from  $\mathbb{K}^k$  to  $\mathbb{K}$  is analytic for any  $x \in X$  and  $f \in X'$ . Furthermore, if  $X_D$  is separable and dense in  $X$  and  $B$  is an EBS $_k$ -tuple, then  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is hereditarily hypercyclic, where  $B_j \in L(X_D)$  are restrictions of  $A_j$  to  $X_D$ .*

*Proof.* Since  $D$  is bounded, there is  $c > 0$  such that  $p(x) \leq cq(x)$  for each  $x \in X_D$ . Since  $q(A_jx) \leq ap(x)$  for each  $x \in X$ , we have  $q(A_jA_lx) \leq ap(A_lx) \leq caq(A_lx) \leq ca^2p(x)$ . Iterating this argument, we see that

$$q(A_1^{n_1} \dots A_k^{n_k}x) \leq c^{|n|-1} a^{|n|} p(x) \quad \text{for any } x \in X \text{ and } n \in \mathbb{Z}_+^k, |n| > 0, \quad (2.10)$$

where  $|n| = n_1 + \dots + n_k$ . By (2.10), for each  $x \in X$  and  $z \in \mathbb{K}^k$ , the series

$$\sum_{n \in \mathbb{Z}_+^k, |n| > 0} \frac{z_1^{n_1} \dots z_k^{n_k}}{n_1! \dots n_k!} A_1^{n_1} \dots A_k^{n_k} x \quad (2.11)$$



converges absolutely in the Banach space  $X_D$ . Since the series  $\sum_{m=1}^{\infty} \frac{1}{m!} \langle z, A \rangle^m x$  can be obtained from (2.11) by an appropriate 'bracketing', it is also absolutely convergent in  $X_D$ . Hence the last series converges in  $X$  and therefore the formula  $e^{\langle z, A \rangle} x = \sum_{m=0}^{\infty} \frac{1}{m!} \langle z, A \rangle^m x$  defines a linear operator on  $X$ . Next, representing  $e^{\langle z, A \rangle} x - x$  by the series (2.11) and using (2.10), we obtain

$$q(e^{\langle z, A \rangle} x - x) \leq \frac{p(x)}{c} \sum_{n \in \mathbb{Z}_+^k, |n| > 0} \frac{|z_1|^{n_1} \cdots |z_k|^{n_k}}{n_1! \cdots n_k!} (ac)^{|n|} = \frac{p(x)}{c} (e^{ac\|z\|} - 1),$$

where  $\|z\| = |z_1| + \cdots + |z_k|$ . By the above display, each  $e^{\langle z, A \rangle}$  is continuous and  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is uniformly continuous. The semigroup property follows in a standard way from the fact that  $A_j$  are pairwise commuting. Applying  $f \in X'$  to the series (2.11) and using (2.10), one immediately obtains the power series expansion of the map  $z \mapsto f(e^{\langle z, A \rangle} x)$ . Hence each  $z \mapsto f(e^{\langle z, A \rangle} x)$  is analytic.

Assume now that  $X_D$  is separable and dense in  $X$ ,  $B_j \in L(X_D)$  are restrictions of  $A_j$  to  $X_D$  and  $B = (B_1, \dots, B_k)$  is an EBS $_k$ -tuple. By Theorem 2.9,  $\{e^{\langle z, B \rangle}\}_{z \in \mathbb{K}^k}$  is hereditarily hypercyclic. Since each  $e^{\langle z, B \rangle}$  is the restriction of  $e^{\langle z, A \rangle}$  to  $X_D$ ,  $X_D$  is dense in  $X$  and carries a topology stronger than the one inherited from  $X$ ,  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is also hereditarily hypercyclic.  $\square$

### 3 $\ell_1$ -sequences, equicontinuous sets and the class $\mathfrak{M}$

**Definition 3.1.** We say that a sequence  $\{x_n\}_{n \in \mathbb{Z}_+}$  in a topological vector space  $X$  is an  $\ell_1$ -sequence if the series  $\sum_{n=0}^{\infty} a_n x_n$  converges in  $X$  for each  $a \in \ell_1$  and for any neighborhood  $U$  of 0 in  $X$ , there is  $n \in \mathbb{Z}_+$  such that  $D_n \subseteq U$ , where  $D_n = \left\{ \sum_{k=0}^{\infty} a_k x_{n+k} : a \in \ell_1, \|a\| \leq 1 \right\}$ .

If  $X$  is a locally convex space, the latter condition is satisfied if and only if  $x_n \rightarrow 0$ .

**Lemma 3.2.** Let  $\{x_n\}_{n \in \mathbb{Z}_+}$  be an  $\ell_1$ -sequence in a topological vector space  $X$ . Then the closed balanced convex hull  $D$  of  $\{x_n : n \in \mathbb{Z}_+\}$  is compact and metrizable. Moreover,  $D = D'$ , where  $D' = \left\{ \sum_{n=0}^{\infty} a_n x_n : a \in \ell_1, \|a\|_1 \leq 1 \right\}$ ,  $X_D$  is separable and  $E = \text{span} \{x_n : n \in \mathbb{Z}_+\}$  is dense in the Banach space  $X_D$ .

*Proof.* Let  $Q = \{a \in \ell_1 : \|a\|_1 \leq 1\}$  be endowed with the coordinatewise convergence topology. Then  $Q$  is a metrizable and compact as a closed subspace of  $\mathbb{D}^{\mathbb{Z}_+}$ , where  $\mathbb{D} = \{z \in \mathbb{K} : |z| \leq 1\}$ . Obviously, the map  $\Phi : Q \rightarrow D'$ ,  $\Phi(a) = \sum_{n=0}^{\infty} a_n x_n$  is onto. Using the definition of an  $\ell_1$ -sequence, one can in a routine way verify that  $\Phi$  is continuous. Hence  $D'$  is compact and metrizable as a continuous image of a compact metrizable space. Thus  $D'$ , being also balanced and convex, is a Banach disk. Let  $u \in X_{D'}$  and  $a \in \ell_1$  be such that  $u = \Phi(a)$ . One can easily see that  $p_{D'}(u_n - u) \rightarrow 0$ , where  $u_n = \sum_{k=0}^n a_k x_k$ . Hence  $u_n \rightarrow u$  in  $X$ . Moreover, if  $u \in D'$ , then  $u_n$  are in the balanced convex hull of  $\{x_n\}_{n \in \mathbb{Z}_+}$ . Thus  $D$  is dense and closed in  $D'$  and therefore  $D = D'$ . Hence  $p_D(u_n - u) \rightarrow 0$  for each  $u \in X_D$ . Since  $u_n \in E$ ,  $E$  is dense in  $X_D$  and  $X_D$  is separable.  $\square$

**Lemma 3.3.** Let  $X$  be a topological vector space. Then the following are equivalent:

- (3.3.1)  $X \in \mathfrak{M}_0$ ;
- (3.3.2) there exists a Banach disk  $D$  in  $X$  with dense linear span such that  $X_D$  is separable;
- (3.3.3) there exists an  $\ell_1$ -sequence in  $X$  with dense linear span.

*Proof.* Obviously, (3.3.2) implies (3.3.1). Lemma 3.2 ensures that (3.3.3) implies (3.3.2). It remains to verify that (3.3.1) implies (3.3.3). Assume that  $X \in \mathfrak{M}_0$ . Then there is a dense linear subspace  $Y$

of  $X$  carrying its own topology  $\tau$  stronger than the topology inherited from  $X$  such that  $Y = (Y\tau)$  is a separable  $\mathcal{F}$ -space. Clearly any  $\ell_1$ -sequence in  $Y$  with dense linear span is also an  $\ell_1$  sequence in  $X$  with dense linear span. Thus it suffices to find an  $\ell_1$ -sequence with dense linear span in  $Y$ . To this end, we pick a dense subset  $A = \{y_n : n \in \mathbb{Z}_+\}$  of  $Y$  and a base  $\{U_n\}_{n \in \mathbb{Z}_+}$  of neighborhoods of 0 in  $Y$  such that each  $U_n$  is balanced and  $U_{n+1} + U_{n+1} \subseteq U_n$  for  $n \in \mathbb{Z}_+$ . Pick a sequence  $\{c_n\}_{n \in \mathbb{Z}_+}$  of positive numbers such that  $x_n = c_n y_n \in U_n$  for each  $n \in \mathbb{Z}_+$ . It is now easy to demonstrate that  $\{x_n\}_{n \in \mathbb{Z}_+}$  is an  $\ell_1$ -sequence in  $Y$  with dense span.  $\square$

**Lemma 3.4.** *Let  $X$  be a separable metrizable topological vector space and  $\{f_n\}_{n \in \mathbb{Z}_+}$  be a linearly independent sequence in  $X'$ . Then there exist sequences  $\{x_n\}_{n \in \mathbb{Z}_+}$  in  $X$  and  $\{\alpha_{k,j}\}_{k,j \in \mathbb{Z}_+, j < k}$  in  $\mathbb{K}$  such that  $\text{span}\{x_k : k \in \mathbb{Z}_+\}$  is dense in  $X$ ,  $g_n(x_k) = 0$  for  $n \neq k$  and  $g_n(x_n) \neq 0$  for  $n \in \mathbb{Z}_+$ , where  $g_n = f_n + \sum_{j < n} \alpha_{n,j} f_j$ .*

*Proof.* Let  $\{U_n\}_{n \in \mathbb{Z}_+}$  be a base of topology of  $X$ . We construct inductively sequences  $\{\alpha_{k,j}\}_{k,j \in \mathbb{Z}_+, j < k}$  in  $\mathbb{K}$  and  $\{y_n\}_{n \in \mathbb{Z}_+}$  in  $X$  such that for any  $k \in \mathbb{Z}_+$ ,

$$y_k \in U_k, \quad g_k(y_k) \neq 0 \quad \text{and} \quad g_k(y_m) = 0 \text{ if } m < k, \text{ where } g_k = f_k + \sum_{j < k} \alpha_{k,j} f_j. \quad (3.1)$$

Let  $g_0 = f_0$ . Since  $f_0 \neq 0$ , there is  $y_0 \in U_0$  such that  $f_0(y_0) = g_0(y_0) \neq 0$ . This provides us with the base of induction. Assume now that  $n \in \mathbb{N}$  and  $y_k, \alpha_{k,j}$  with  $j < k < n$  satisfying (3.1) are already constructed. According to (3.1), we can find  $\alpha_{n,0}, \dots, \alpha_{n,n-1} \in \mathbb{K}$  such that  $g_n(y_m) = 0$  for  $m < n$ , where  $g_n = f_n + \sum_{j < n} \alpha_{n,j} f_j$ . Since  $f_j$  are linearly independent,  $g_n \neq 0$  and therefore there is  $y_n \in U_n$  such that  $g_n(y_n) \neq 0$ . This concludes the inductive procedure.

Using (3.1), one can choose a sequence  $\{\beta_{k,j}\}_{k,j \in \mathbb{Z}_+, j < k}$  in  $\mathbb{K}$  such that  $g_n(x_n) \neq 0$  for  $n \in \mathbb{Z}_+$  and  $g_n(x_k) = 0$  for  $k \neq n$ , where  $x_k = y_k + \sum_{j < k} \beta_{k,j} y_j$ . Since  $y_n \in U_n$ ,  $\{y_n : n \in \mathbb{Z}_+\}$  is dense in  $X$ . Hence  $\text{span}\{x_n : n \in \mathbb{Z}_+\} = \text{span}\{y_n : n \in \mathbb{Z}_+\}$  is dense in  $X$ .  $\square$

**Lemma 3.5.** *Let  $X \in \mathfrak{M}_1$ . Then there exists a linearly independent equicontinuous sequence  $\{f_n : n \in \mathbb{Z}_+\}$  in  $X'$  such that  $\varphi \subseteq \{\{f_n(x)\}_{n \in \mathbb{Z}_+} : x \in X\}$ .*

*Proof.* Since  $X \in \mathfrak{M}_1$ , there is a continuous seminorm  $p$  on  $X$  for which  $X_p = X/\ker p$  with the norm  $\|x + \ker p\| = p(x)$  is an infinite dimensional normed space. Since every infinite dimensional normed space admits a biorthogonal sequence, we can choose sequences  $\{x_n\}_{n \in \mathbb{Z}_+}$  in  $X$  and  $\{g_n\}_{n \in \mathbb{Z}_+}$  in  $X'_p$  such that  $\|g_n\| \leq 1$  for each  $n \in \mathbb{Z}_+$  and  $g_n(x_k + \ker p) = \delta_{n,k}$  for  $n, k \in \mathbb{Z}_+$ , where  $\delta_{n,k}$  is the Kronecker delta. Now let  $f_n : X \rightarrow \mathbb{K}$ ,  $f_n(x) = g_n(x + \ker p)$ . The above properties of  $g_n$  can be rewritten in terms of  $f_n$  in the following way:  $|f_n(x)| \leq p(x)$  and  $f_n(x_k) = \delta_{n,k}$  for any  $n, k \in \mathbb{Z}_+$  and  $x \in Y$ . Since  $f_n(x_k) = \delta_{n,k}$ , we have  $\varphi \subseteq \{\{f_n(x)\}_{n \in \mathbb{Z}_+} : x \in X\}$ . By the inequality  $|f_n(x)| \leq p(x)$ ,  $\{f_n : n \in \mathbb{Z}_+\}$  is equicontinuous.  $\square$

**Lemma 3.6.** *Let  $X \in \mathfrak{M}$ . Then there exist an  $\ell_1$ -sequence  $\{x_n\}_{n \in \mathbb{Z}_+}$  in  $X$  with dense linear span and an equicontinuous sequence  $\{f_k\}_{k \in \mathbb{Z}_+}$  in  $X'$  such that  $f_k(x_n) = 0$  if  $k \neq n$  and  $f_k(x_k) \neq 0$  for each  $k \in \mathbb{Z}_+$ .*

*Proof.* According to Lemma 3.3, there is a Banach disk  $D$  in  $X$  such that  $X_D$  is separable and dense in  $X$ . By Lemma 3.5, there is a linearly independent equicontinuous sequence  $\{g_n\}_{n \in \mathbb{N}}$  in  $X'$ . Since  $X_D$  is dense in  $X$ , the functionals  $g_n|_{X_D}$  on  $X_D$  are linearly independent. Applying Lemma 3.4 to the sequence  $\{g_n|_{X_D}\}$ , we find sequences  $\{y_n\}_{n \in \mathbb{Z}_+}$  in  $X_D$  and  $\{\alpha_{k,j}\}_{k,j \in \mathbb{Z}_+, j < k}$  in  $\mathbb{K}$  such that  $E = \text{span}\{y_k : k \in \mathbb{Z}_+\}$  is dense in  $X_D$ ,  $h_n(y_k) = 0$  for  $n \neq k$  and  $h_n(y_n) \neq 0$  for  $n \in \mathbb{Z}_+$ , where  $h_n = g_n + \sum_{j < n} \alpha_{n,j} g_j$ . Consider  $f_n = c_n h_n$ , where  $c_n = \left(1 + \sum_{j < n} |\alpha_{n,j}|\right)^{-1}$ . Since  $\{g_n : n \in \mathbb{N}\}$  is equicontinuous,  $\{f_n : n \in \mathbb{N}\}$  is also equicontinuous. Next, let  $x_n = b_n y_n$ , where  $b_n = 2^{-n} q(x_n)^{-1}$  and  $q$  is the norm of the Banach space  $X_D$ . Since  $x_n$  converges to 0 in  $X_D$ ,  $\{x_n\}_{n \in \mathbb{N}}$  is an  $\ell_1$ -sequence

in  $X_D$ . Since  $X_D$  is dense in  $X$ ,  $\text{span}\{x_n : n \in \mathbb{Z}_+\} = E$  is dense in  $X_D$ , and the topology of  $X_D$  is stronger than the one inherited from  $X$ ,  $\{x_n\}_{n \in \mathbb{N}}$  is an  $\ell_1$ -sequence in  $X$  with dense linear span. Finally since  $f_n(x_k) = c_n b_k h_n(y_k)$ , we see that  $f_n(x_k) = 0$  if  $n \neq k$  and  $f_n(x_n) \neq 0$  for any  $n \in \mathbb{Z}_+$ . Thus all required conditions are satisfied.  $\square$

### 3.1 Proof of Proposition 1.5

Let  $X$  be a separable  $\mathcal{F}$ -space. We have to show that  $X$  belongs to  $\mathfrak{M}$  if and only if  $\dim X' > \aleph_0$ .

First, assume that  $X \in \mathfrak{M}$ . Then there is a continuous seminorm  $p$  on  $X$  such that  $X_p = X/\ker p$  is infinite dimensional. We endow  $X_p$  with the norm  $\|x + \ker p\| = p(x)$ . The dual  $X'_p$  of the normed space  $X_p$  is naturally contained in  $X'$ . Since the algebraic dimension of the dual of any infinite dimensional normed space is at least  $2^{\aleph_0}$  [8], we have  $\dim X' \geq \dim X'_p \geq 2^{\aleph_0} > \aleph_0$ .

Assume now that  $\dim X' > \aleph_0$  and let  $\{U_n\}_{n \in \mathbb{Z}_+}$  be a base of neighborhoods of 0 in  $X$ . Then  $X'$  is the union of subspaces  $Y_n = \{f \in X' : |f| \text{ is bounded on } U_n\}$  for  $n \in \mathbb{Z}_+$ . Since  $\dim X' > \aleph_0$ , we can pick  $n \in \mathbb{Z}_+$  such that  $Y_n$  is infinite dimensional. Now let  $p$  be the Minkowskii functional of  $U_n$ . Then the open unit ball of  $p$  is exactly the balanced convex hull  $W$  of  $U_n$ . Since  $U_n \subseteq W$ ,  $p$  is a continuous seminorm on  $X$ . Since each  $f \in Y_n$  is bounded on  $W$  and  $Y_n$  is infinite dimensional,  $X/\ker p$  is also infinite dimensional. Hence  $X \in \mathfrak{M}_1$ . Since  $X$ , as a separable  $\mathcal{F}$ -space, belongs to  $\mathfrak{M}_0$ , we see that  $X \in \mathfrak{M}$ . The proof is complete.

## 4 Proof of Theorem 1.4

Let  $X \in \mathfrak{M}$ . By Lemma 3.6, there exist an  $\ell_1$ -sequence  $\{x_n\}_{n \in \mathbb{Z}_+}$  in  $X$  and an equicontinuous sequence  $\{f_k\}_{k \in \mathbb{Z}_+}$  in  $X'$  such that  $E = \text{span}\{x_n : n \in \mathbb{Z}_+\}$  is dense in  $X$ ,  $f_k(x_n) = 0$  if  $k \neq n$  and  $f_k(x_k) \neq 0$  for each  $k \in \mathbb{Z}_+$ . Since  $\{f_k\}$  is equicontinuous, there is a continuous seminorm  $p$  on  $X$  such that each  $|f_k|$  is bounded by 1 on the unit ball of  $p$ . Since  $\{x_n\}$  is an  $\ell_1$ -sequence in  $X$ , Lemma 3.2 implies that the balanced convex closed hull  $D$  of  $\{x_n : n \in \mathbb{Z}_+\}$  is a Banach disk in  $X$ . Let  $q$  be the norm of the Banach space  $X_D$ . Then  $q(x_n) \leq 1$  for each  $n \in \mathbb{Z}_+$ .

**Lemma 4.1.** *Let  $\alpha, \beta : \mathbb{Z}_+ \rightarrow \mathbb{Z}_+$  be any maps and  $a = \{a_n\}_{n \in \mathbb{Z}_+} \in \ell_1$ . Then the formula*

$$Tx = \sum_{n \in \mathbb{Z}_+} a_n f_{\alpha(n)}(x) x_{\beta(n)} \quad (4.1)$$

*defines a continuous linear operator on  $X$ . Moreover,  $T(X) \subseteq X_D$  and  $q(Tx) \leq \|a\|p(x)$  for each  $x \in X$ , where  $\|a\|$  is the  $\ell_1$ -norm of  $a$ .*

*Proof.* Since  $\{f_k\}$  is equicontinuous,  $\{f_{\alpha(n)}(x)\}_{n \in \mathbb{Z}_+}$  is bounded for any  $x \in X$ . Since  $\{x_n\}$  is an  $\ell_1$ -sequence and  $a \in \ell_1$ , the series in (4.1) converges for any  $x \in X$  and therefore defines a linear operator on  $X$ . Moreover, if  $p(x) \leq 1$ , then  $|f_k(x)| \leq 1$  for each  $k \in \mathbb{Z}_+$ . Since  $q(x_m) \leq 1$  for  $m \in \mathbb{Z}_+$ , (4.1) implies that  $q(Tx) \leq \|a\|$  if  $p(x) \leq 1$ . Hence  $q(Tx) \leq \|a\|p(x)$  for each  $x \in X$ . It follows that  $T$  is continuous and takes values in  $X_D$ .  $\square$

Fix a bijection  $\gamma : \mathbb{Z}_+^k \rightarrow \mathbb{Z}_+$ . By  $e_j$  we denote the element of  $\mathbb{Z}_+^k$  defined by  $(e_j)_l = \delta_{j,l}$ . For  $n \in \mathbb{Z}_+^k$ , we write  $|n| = n_1 + \dots + n_k$ . Let

$$\varepsilon_m = \min\{|f_{\gamma(n)}(x_{\gamma(n)})| : n \in \mathbb{Z}_+^k, |n| = m + 1\} \quad \text{for } m \in \mathbb{Z}_+.$$

Since  $f_j(x_j) \neq 0$ ,  $\varepsilon_m > 0$  for  $m \in \mathbb{Z}_+$ . Pick any sequence  $\{\alpha_m\}_{m \in \mathbb{Z}_+}$  of positive numbers satisfying

$$\alpha_{m+1} \geq 2^m \alpha_m \varepsilon_m^{-1} \quad \text{for any } m \in \mathbb{Z}_+ \quad (4.2)$$

and consider the operators  $A_j : X \rightarrow X$  defined by the formula

$$A_j x = \sum_{n \in \mathbb{Z}_+^k} \frac{\alpha_{|n|} f_{\gamma(n+e_j)}(x)}{\alpha_{|n|+1} f_{\gamma(n+e_j)}(x_{\gamma(n+e_j)})} x_{\gamma(n)} \quad \text{for } 1 \leq j \leq k.$$

By (4.2), the series defining  $A_j$  can be written as

$$A_j x = \sum_{n \in \mathbb{Z}_+^k} c_{j,n} f_{\gamma(n+e_j)}(x) x_{\gamma(n)} \quad \text{with } 0 < |c_{j,n}| < 2^{-|n|} \quad \text{and therefore } \sum_{n \in \mathbb{Z}_+^k} |c_{j,n}| \leq C = \sum_{n \in \mathbb{Z}_+^k} 2^{-|n|}.$$

Then each  $A_j$  has shape (4.1) with  $\|a\| \leq C$ . By Lemma 4.1,  $A_j \in L(X)$ ,  $A_j(X) \subseteq X_D$  and  $q(Tx) \leq Cp(x)$  for any  $x \in X$ . Using the definition of  $A_j$  and the equalities  $f_m(x_j) = 0$  for  $m \neq j$ , it is easy to verify that  $A_j A_l x_n = A_l A_j x_n$  for any  $1 \leq j < l \leq k$  and  $n \in \mathbb{Z}_+$ . Indeed, for any  $n \in \mathbb{Z}_+$ , there is a unique  $m \in \mathbb{Z}_+^k$  such that  $n = \gamma(m)$ . If either  $m_j = 0$  or  $m_l = 0$ , we have  $A_j A_l x_n = A_l A_j x_n = 0$ . If  $m_j \geq 1$  and  $m_l \geq 1$ , then  $A_j A_l x_n = A_l A_j x_n = \frac{\alpha|m_l-2}{\alpha|m_l|} x_{\gamma(m-e_j-e_l)}$ . Since  $E$  is dense in  $X$ ,  $A_1, \dots, A_n$  are pairwise commuting. By Lemma 2.10,  $e^{\langle z, A \rangle}$  are well-defined for  $z \in \mathbb{K}^k$ ,  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is a uniformly continuous operator group and the map  $z \mapsto f(e^{\langle z, A \rangle} x)$  from  $\mathbb{K}^k$  to  $\mathbb{K}$  is analytic for any  $x \in X$  and  $f \in X'$ . It remains to show that  $\{e^{\langle z, A \rangle}\}_{z \in \mathbb{K}^k}$  is hereditarily hypercyclic. By Lemma 3.2,  $X_D$  is separable. According to Lemma 2.10, it suffices to prove that  $B \in L(X_D)^k$  is an  $\text{EBS}_k$ -tuple, where  $B_j$  are restrictions of  $A_j$  to  $X_D$ . Clearly  $B_j$  commute as restrictions of commuting operators. Using the relations  $f_m(x_j) = 0$  for  $m \neq j$  and  $f_j(x_j) \neq 0$ , it is easy to see that the set  $\mathcal{N}(m, B)$ , defined in (2.1), contains  $E_m = \text{span}\{x_{\gamma(n)} : n \in \mathbb{Z}_+^k, n_j \leq m_j - 1, 1 \leq j \leq k\}$  for each  $m \in \mathbb{N}^k$ . Hence  $\ker^\dagger B$ , defined in (2.1), contains  $E$ , which is dense in  $X_D$  by Lemma 3.2. Thus  $B$  is an  $\text{EBS}_k$ -tuple. The proof of Theorem 1.4 is complete.

## 5 Spaces without supercyclic semigroups $\{T_t\}_{t \in \mathbb{R}_+}$

**Lemma 5.1.** *Let  $X$  be a finite dimensional topological vector space of the  $\mathbb{R}$ -dimension  $> 2$ . Then there is no supercyclic strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $X$ .*

*Proof.* As well-known, any strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $\mathbb{K}^n$  has shape  $\{e^{tA}\}_{t \in \mathbb{R}_+}$ , where  $A \in L(\mathbb{K}^n)$ . Assume the contrary. Then there exist  $n \in \mathbb{N}$  and  $A \in L(\mathbb{K}^n)$  such that  $\{e^{tA}\}_{t \in \mathbb{R}_+}$  is supercyclic and  $\dim_{\mathbb{R}} \mathbb{K}^n > 2$ . Since  $e^{tA}$  are invertible and commute with each other, Proposition G implies that the set  $W$  of universal elements for  $\{ze^{tA} : z \in \mathbb{K}, t \in \mathbb{R}_+\}$  is dense in  $\mathbb{K}^n$ . On the other hand, for each  $c > 0$  and any  $x \in \mathbb{K}^n$ , from the restriction on  $n$  it follows that the closed set  $\{ze^{tA}x : z \in \mathbb{K}, 0 \leq t \leq c\}$  is nowhere dense in  $\mathbb{K}^n$  (smoothness of the map  $(z, t) \mapsto ze^{tA}x$  implies that the topological dimension of  $\{ze^{tA}x : z \in \mathbb{K}, 0 \leq t \leq c\}$  is less than that of  $\mathbb{K}^n$ ). Hence, each  $x \in W$  is universal for  $\{ze^{tA} : z \in \mathbb{K}, t > c\}$  for any  $c > 0$ . Now if  $(a, b)$  is a subinterval of  $(0, \infty)$ , it is easy to see that the family  $\{ze^{tkA} : z \in \mathbb{K}, a < t < b, k \in \mathbb{Z}_+\}$  contains  $\{ze^{tA} : z \in \mathbb{K}, t > c\}$  for a sufficiently large  $c > 0$ . Hence for each  $x \in W$ , the set  $\{ze^{tkA}x : z \in \mathbb{K}, a < t < b, k \in \mathbb{Z}_+\}$  is dense in  $\mathbb{K}^n$ . Since  $(a, b)$  is arbitrary and  $W$  is dense in  $\mathbb{K}^n$ ,  $\{(t, x, ze^{tkA}x : t \in \mathbb{R}_+, z \in \mathbb{K}, x \in \mathbb{K}^n, k \in \mathbb{Z}_+\}$  is dense in  $\mathbb{R}_+ \times \mathbb{K}^n \times \mathbb{K}^n$ . By Theorem U, the family  $\{F_{z,k} : z \in \mathbb{K}, k \in \mathbb{Z}_+\}$  of maps  $F_{z,k} : \mathbb{R}_+ \times \mathbb{K}^n \rightarrow \mathbb{K}^n$ ,  $F_{z,k}(t, x) = ze^{tkA}x$  has dense set  $U_0 \subset \mathbb{R}_+ \times \mathbb{K}^n$  of universal elements. Hence the projection  $U$  of  $U_0$  onto  $\mathbb{K}^n$  is dense in  $\mathbb{K}^n$ . On the other hand,  $U$  is exactly the set of  $x \in \mathbb{K}^n$  supercyclic for  $e^{tA}$  for some  $t \in \mathbb{R}_+$ . In particular, there is  $t \in \mathbb{R}_+$  such that  $e^{tA}$  is supercyclic. This contradicts the fact (see [26]) that there are no supercyclic operators on finite dimensional spaces of real dimension  $> 2$ .  $\square$

**Remark 5.2.** In the proof of Lemma 5.1 we have shown that a strongly continuous supercyclic operator semigroup on a finite dimensional space must contain supercyclic operators. It is worth mentioning that Conejero, Müller and Peris [12] proved that every  $T_t$  with  $t > 0$  is hypercyclic for any hypercyclic strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on an  $\mathcal{F}$ -space. Bernal-González and Grosse-Erdmann [6] gave an example of a supercyclic strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on a real Hilbert space such that  $T_t$  is not supercyclic for  $t$  from a dense subset of  $\mathbb{R}_+$ . It seems to remain unknown whether  $T_t$  with  $t > 0$  must all be supercyclic for every supercyclic strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on a complex  $\mathcal{F}$ -space.

The following (trivial under the Continuum Hypothesis) result is Lemma 2 in [25].

**Lemma 5.3.** *Let  $(M, d)$  be a separable complete metric space,  $X$  be a topological vector space,  $f : M \rightarrow X$  be a continuous map and  $\tau = \dim \operatorname{span} f(M)$ . Then either  $\tau \leq \aleph_0$  or  $\tau = 2^{\aleph_0}$ .*

**Lemma 5.4.** *Let  $\{T_t\}_{t \in \mathbb{R}_+}$  be a strongly continuous operator semigroup on a topological vector space  $X$ ,  $x \in X$  and  $C(x) = \operatorname{span} \{T_t x : t \in \mathbb{R}_+\}$ . Then either  $\dim C(x) < \aleph_0$  or  $\dim C(x) = 2^{\aleph_0}$ .*

*Proof.* By Lemma 5.3, either  $\dim C(x) \leq \aleph_0$  or  $\dim C(x) = 2^{\aleph_0}$ . It remains to rule out the case  $\dim C(x) = \aleph_0$ . Assume that  $\dim C(x) = \aleph_0$ . Restricting the  $T_t$  to the invariant subspace  $C(x)$ , we can without loss of generality assume that  $C(x) = X$ . Thus  $\dim X = \aleph_0$  and therefore  $X$  is the union of an increasing sequence  $\{X_n\}_{n \in \mathbb{Z}_+}$  of finite dimensional subspaces. First, we shall show that for each  $\varepsilon > 0$ , the space  $X_\varepsilon = \operatorname{span} \{T_t x : t \geq \varepsilon\}$  is finite dimensional.

Let  $\varepsilon > 0$  and  $0 < \alpha < \varepsilon$ . Then  $[\alpha, \varepsilon]$  is the union of closed sets  $A_n = \{t \in [\alpha, \varepsilon] : T_t x \in X_n\}$  for  $n \in \mathbb{Z}_+$ . By the Baire category theorem, there is  $n \in \mathbb{Z}_+$  such that  $A_n$  has non-empty interior in  $[\alpha, \varepsilon]$ . Hence we can pick  $a, b \in \mathbb{R}$  such that  $\alpha \leq a < b \leq \varepsilon$  and  $T_t x \in X_n$  for any  $t \in [a, b]$ . We shall show that  $T_t x \in X_n$  for  $t \geq a$ . Assume, it is not the case. Then the number  $c = \inf\{t \in [a, \infty) : T_t x \notin X_n\}$  belongs to  $[b, \infty)$ . Since  $\{t \in \mathbb{R}_+ : T_t x \in X_n\}$  is closed,  $T_c x \in X_n$ . Since  $[a, b]$  is uncountable and the span of  $\{T_t : t \in [a, b]\}$  is finite dimensional, we can pick  $a \leq t_0 < t_1 < \dots < t_{n-1} \leq b$  and  $c_1, \dots, c_{n-1} \in \mathbb{K}$  such that  $T_{t_n} x = c_1 T_{t_1} x + \dots + c_{n-1} T_{t_{n-1}} x$ . Since  $T_c x \in X_n$ , by definition of  $c$ , there is  $t \in (c, c + t_n - t_{n-1})$  such that  $T_t x \notin X_n$ . Since  $t > c \geq t_n$ , the equality  $T_{t_n} x = c_1 T_{t_1} x + \dots + c_{n-1} T_{t_{n-1}} x$  implies that  $T_t x = T_{t-t_n} T_{t_n} x = T_{t-t_n} \sum_{j=1}^{n-1} c_j T_{t_j} x = \sum_{j=1}^{n-1} c_j T_{t-t_n+t_j} x \in X_n$  because  $a \leq t - t_n + t_j \leq c$  for  $1 \leq j \leq n-1$ . This contradiction proves that  $T_t x \in X_n$  for each  $t \geq a$ . Hence  $X_\varepsilon \subseteq X_n$  and therefore  $X_\varepsilon$  is finite dimensional for each  $\varepsilon > 0$ .

Since  $T_t(X) = T_t(C(x)) \subseteq X_t$ ,  $T_t$  has finite rank for any  $t > 0$ . Let  $t > 0$ . Since  $T_t$  has finite rank,  $F_t = \ker T_t$  is a closed subspace of  $X$  of finite codimension. Clearly  $F_t$  is  $T_s$ -invariant for each  $s \in \mathbb{R}_+$ . Passing to quotient operators,  $S_s \in L(X/F_t)$ ,  $S_s(u + F_t) = T_s u + F_t$ , we get a strongly continuous semigroup  $\{S_s\}_{s \in \mathbb{R}_+}$  on the finite dimensional space  $X/F_t$ . Hence there is  $A \in L(X/F_t)$  such that  $S_s = e^{sA}$  for  $s \in \mathbb{R}_+$ . Thus each  $S_s$  is invertible and is a quotient of  $T_s$ , we obtain  $\operatorname{rk} T_s \geq \operatorname{rk} S_s = \dim X/F_t = \operatorname{rk} T_t$  for any  $t > 0$  and  $s \geq 0$ . Thus  $T_t$  for  $t > 0$  have the same rank  $k \in \mathbb{N}$ . Passing to the limit as  $t \rightarrow 0$ , we see that the identity operator  $I = T_0$  is the strong operator topology limit of a sequence of rank  $k$  operators. Hence  $\operatorname{rk} I \leq k$ . That is,  $X$  is finite dimensional. This contradiction completes the proof.  $\square$

**Lemma 5.5.** *Let  $X$  be a topological vector space in which the linear span of each metrizable compact subset has dimension  $< 2^{\aleph_0}$ . Then for any strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $X$  and any  $x \in X$ , the space  $C(x) = \operatorname{span} \{T_t x : t \in \mathbb{R}_+\}$  is finite dimensional.*

*Proof.* Let  $\{T_t\}_{t \in \mathbb{R}_+}$  be a strongly continuous operator semigroup on  $X$  and  $x \in X$ . By strong continuity,  $K_n = \{T_t x : 0 \leq t \leq n\}$  is compact and metrizable for any  $n \in \mathbb{N}$ . Hence  $\dim E_n < 2^{\aleph_0}$  for any  $n \in \mathbb{N}$ , where  $E_n = \operatorname{span}(K_n)$ . Since the sum of countably many cardinals strictly less than  $2^{\aleph_0}$  is strictly less than  $2^{\aleph_0}$ ,  $\dim C(x) \leq \sum_{n=1}^{\infty} \dim E_n < 2^{\aleph_0}$ . By Lemma 5.4,  $C(x)$  is finite dimensional.  $\square$

Applying Lemma 5.1 if  $X$  is finite dimensional and Lemma 5.5 otherwise, we get the following result.

**Corollary 5.6.** *Let  $X$  be a topological vector space such that  $\dim_{\mathbb{R}} X > 2$  and the linear span of each metrizable compact subset of  $X$  has dimension  $< 2^{\aleph_0}$ . Then there is no strongly continuous supercyclic operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $X$ .*

**Corollary 5.7.** *Let  $X$  be an infinite dimensional topological vector space such that  $\dim_{\mathbb{R}} X' > 2$  and in  $X'_\sigma$  the span of any compact metrizable subset has dimension  $< 2^{\aleph_0}$ . Then there is no strongly continuous supercyclic operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $X$ .*

*Proof.* Assume that there exists a supercyclic strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $X$ . It is straightforward to verify that  $\{T'_t\}_{t \in \mathbb{R}_+}$  is a strongly continuous semigroup on  $X'_\sigma$ . Pick any finite dimensional subspace  $L$  of  $X'$  such that  $\dim_{\mathbb{R}} L > 2$ . By Lemma 5.5,  $E = \text{span}\{T'_t f : t \in \mathbb{R}_+, f \in L\}$  is finite dimensional. Since  $L \subseteq E$ ,  $\dim_{\mathbb{R}} E > 2$ . Since  $E$  is  $T'_t$ -invariant for any  $t \in \mathbb{R}_+$ , its annihilator  $F = \{x \in X : f(x) = 0 \text{ for any } f \in E\}$  is  $T_t$ -invariant for each  $t \in \mathbb{R}_+$ . Thus we can consider the quotient operators  $S_t \in L(X/F)$ ,  $S_t(x+F) = T_t x + F$ . Then  $\{S_t\}_{t \in \mathbb{R}_+}$  is a strongly continuous operator semigroup on  $X/F$ . Moreover,  $\{S_t\}_{t \in \mathbb{R}_+}$  is supercyclic since  $\{T_t\}_{t \in \mathbb{R}_+}$  is. Now since  $\dim E = \dim X/F$ ,  $2 < \dim_{\mathbb{R}} X/F < \aleph_0$ . By Lemma 5.1, there are no strongly continuous supercyclic operator semigroups on  $X/F$ . This contradiction completes the proof.  $\square$

*Proof of Theorem 1.6.* Theorem 1.6 immediately follows from Corollaries 5.6 and 5.7.  $\square$

## 6 Examples, remarks and questions

Note that if  $(X, \tau) \in \mathfrak{M}$  is locally convex, then  $(X, \theta) \in \mathfrak{M}$  for any locally convex topology  $\theta$  on  $X$  such that  $\theta \neq \sigma(X, X')$  and  $(X, \theta)$  has the same dual  $X'$  as  $(X, \tau)$ . This is an easy application of the Mackey–Arens theorem [24]. Moreover, if  $(X, \tau) \in \mathfrak{M}$  is locally convex, the hereditarily hypercyclic uniformly continuous group from Theorem 1.4 is strongly continuous and hereditarily hypercyclic on  $X$  equipped with the weak topology. Unfortunately, the nature of the weak topology does not allow to make such a semigroup uniformly continuous.

Assume now that  $X$  is an infinite dimensional separable  $\mathcal{F}$ -space. If  $\dim X' > \aleph_0$ , Proposition 1.5 and Theorem 1.4 provide uniformly continuous hereditarily hypercyclic operator groups  $\{T_t\}_{t \in \mathbb{K}^k}$  on  $X$ . If  $2 < \dim_{\mathbb{R}} X' \leq \aleph_0$ , Theorem 1.6 does not allow a supercyclic strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $X$ . Similarly, if  $1 \leq \dim X' \leq \aleph_0$ , there are no hypercyclic strongly continuous operator semigroups  $\{T_t\}_{t \in \mathbb{R}_+}$  on  $X$ . It leaves unexplored the case  $X' = \{0\}$ .

**Question 6.1.** *Characterize infinite dimensional separable  $\mathcal{F}$ -spaces  $X$  such that  $X' = \{0\}$  and  $X$  admits a hypercyclic strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$ . In particular, is it true that an  $\mathcal{F}$ -space  $X$  with  $X' = \{0\}$  supporting a hypercyclic operator, supports also a hypercyclic strongly continuous operator semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$ ?*

Recall that an infinite dimensional topological vector space  $X$  is called *rigid* if  $L(X)$  consists only of the operators of the form  $\lambda I$  for  $\lambda \in \mathbb{K}$ . Since there exist rigid separable  $\mathcal{F}$ -spaces [19], there are separable infinite dimensional  $\mathcal{F}$ -spaces on which support no cyclic operators or cyclic strongly continuous operator semigroups  $\{T_t\}_{t \in \mathbb{R}_+}$ . Of course,  $X' = \{0\}$  if  $X$  is rigid. We show that the equality  $X' = \{0\}$  for an  $\mathcal{F}$ -space is not an obstacle for having uniformly continuous hereditarily hypercyclic operator groups. The spaces we consider are  $L_p[0, 1]$  for  $0 \leq p < 1$ .

Let  $(\Omega, \mathcal{A}, \mu)$  be a measure space with  $\mu$  being  $\sigma$ -finite. Recall that if  $0 < p < 1$ , then  $L_p(\Omega, \mu)$  consists of (classes of equivalence up to being equal almost everywhere with respect to  $\mu$  of) measurable functions  $f : \Omega \rightarrow \mathbb{K}$  satisfying  $q_p(f) = \int_{\Omega} |f(x)|^p \lambda(dx) < \infty$  with the topology defined by the metric  $d_p(f, g) = q_p(f - g)$ . The space  $L_0(\Omega, \mu)$  consists of (equivalence classes of) all measurable functions  $f : \Omega \rightarrow \mathbb{K}$  with the topology defined by the metric  $d_0(f, g) = q_0(f - g)$ , where  $q_0(h) = \sum_{n=0}^{\infty} \frac{2^{-n}}{\mu(\Omega_n)} \int_{\Omega_n} \frac{|f(x)|}{1+|f(x)|} \mu(dx)$  and  $\{\Omega_n\}_{n \in \mathbb{Z}_+}$  is a sequence of measurable subsets of  $\Omega$  such that  $\mu(\Omega_n) < \infty$  for each  $n \in \mathbb{Z}_+$  and  $\Omega$  is the union of  $\Omega_n$ . Although  $d_0$  depends on the choice of  $\{\Omega_n\}$ , the topology defined by this metric does not depend on this choice. If  $\Omega = [0, 1]^k$  or  $\Omega = \mathbb{R}^k$  and  $\mu$  is the Lebesgue measure, we omit the notation for the underlying measure and  $\sigma$ -algebra and simply write  $L_p([0, 1]^k)$  or  $L_p(\mathbb{R}^k)$ . We also replace  $L_p([0, 1])$  by  $L_p[0, 1]$ . Note [19] that  $X = L_p[0, 1]$  for  $0 \leq p < 1$  is a separable infinite dimensional  $\mathcal{F}$ -space satisfying  $X' = \{0\}$ . Moreover, for any  $p \in [0, 1)$  and  $k \in \mathbb{N}$ ,  $L_p([0, 1]^k)$  is isomorphic to  $L_p[0, 1]$  and  $L_p(\mathbb{R}^k)$  is isomorphic to  $L_p[0, 1]$ .

**Example 6.2.** Let  $0 < p < 1$ ,  $X = L_p([0, 1]^k)$  and  $T_j \in L(X)$  be defined by the formula

$$T_j f(x_1, \dots, x_{j-1}, x_j, x_{j+1}, \dots, x_n) = f(x_1, \dots, x_{j-1}, x_j/2, x_{j+1}, \dots, x_n), \quad 1 \leq j \leq k.$$

Then  $\{e^{(t,T)}\}_{t \in \mathbb{K}^k}$  is a uniformly continuous and hereditarily hypercyclic operator group.

*Proof.* The facts that  $T_j$  are pairwise commuting,  $e^{(t,T)}$  is well-defined for each  $t \in \mathbb{K}^k$  and  $\{e^{(t,T)}\}_{t \in \mathbb{K}^k}$  is a uniformly continuous operator group are easily verified. Moreover,  $T$  is an  $\text{EBS}_k$ -tuple. Namely,  $\ker^\dagger T$  consists of all  $f \in X$  vanishing in a neighborhood of  $(0, \dots, 0)$  and therefore is dense. By Corollary 2.8,  $\{e^{(t,T)}\}_{t \in \mathbb{K}^k}$  is mixing. By Proposition 1.1,  $\{e^{(t,T)}\}_{t \in \mathbb{K}^k}$  is hereditarily hypercyclic.  $\square$

It is worth noting that the above example does not work for  $X = L_0([0, 1]^k)$ :  $e^{(t,T)}$  is not well-defined for each non-zero  $t \in \mathbb{K}^k$ . Nevertheless, we can produce a strongly continuous hereditarily hypercyclic operator group  $\{T_t\}_{t \in \mathbb{R}^k}$  on  $L_0(\mathbb{R}^k)$ .

**Example 6.3.** Let  $k \in \mathbb{N}$ ,  $X = L_0(\mathbb{R}^k)$  and for each  $t \in \mathbb{R}^k$ ,  $T_t \in L(X)$  be defined by the formula  $T_t f(x) = f(x - t)$ . Then  $\{T_t\}_{t \in \mathbb{R}^k}$  is a strongly continuous hereditarily hypercyclic operator group.

*Proof.* The fact that  $\{T_t\}_{t \in \mathbb{R}^k}$  is a strongly continuous operator group is obvious. Pick a sequence  $\{t_n\}_{n \in \mathbb{Z}_+}$  of vectors in  $\mathbb{R}^k$  such that  $|t_n| \rightarrow \infty$  as  $n \rightarrow \infty$ . Clearly the space  $E$  of functions from  $X$  with bounded support is dense in  $X$ . It is easy to see that  $T_{t_n} f \rightarrow 0$  and  $T_{t_n}^{-1} f = T_{-t_n} f \rightarrow 0$  for each  $f \in E$ . Hence  $\{T_{t_n} : n \in \mathbb{Z}_+\}$  satisfies the universality criterion from [7]. Thus  $\{T_{t_n} : n \in \mathbb{Z}_+\}$  is universal and therefore  $\{T_t\}_{t \in \mathbb{R}^k}$  is hereditarily hypercyclic.  $\square$

Since  $L_p([0, 1]^k)$  and  $L_p(\mathbb{R}^k)$  are isomorphic to  $L_p[0, 1]$ , we obtain the following corollary.

**Corollary 6.4.** *Let  $k \in \mathbb{N}$  and  $0 \leq p < 1$ . Then there exists a hereditarily hypercyclic strongly continuous operator group  $\{T_t\}_{t \in \mathbb{R}^k}$  on  $L_p[0, 1]$ .*

Ansari [1] asked whether  $L_p[0, 1]$  for  $0 \leq p < 1$  support hypercyclic operators. This question was answered affirmatively by Grosse–Erdmann [18, Remark 4b]. Corollary 6.4 provides a 'very strong' affirmative answer to the same question. Finally, we would like to mention a class of topological vector spaces very different from the spaces in  $\mathfrak{M}$  in terms of operator semigroups. Recall that operator semigroups from Theorem 1.4 on spaces  $X \in \mathfrak{M}$  depend analytically on the parameter: the map  $t \mapsto f(T_t x)$  from  $\mathbb{K}^k$  to  $\mathbb{K}$  is analytic for any  $x \in X$  and  $f \in X'$ .

**Proposition 6.5.** *Let a locally convex space  $X$  be the union of a sequence of its closed linear subspaces  $\{X_n\}_{n \in \mathbb{Z}_+}$  such that  $X_n \neq X$  for each  $n \in \mathbb{Z}_+$ . Assume also that  $\{T_t\}_{t \in \mathbb{R}_+}$  is a strongly continuous operator semigroup such that the function  $t \mapsto f(T_t x)$  from  $\mathbb{R}_+$  to  $\mathbb{K}$  is real-analytic for any  $x \in X$  and  $f \in X'$ . Then  $\{T_t\}_{t \in \mathbb{R}_+}$  is non-cyclic.*

*Proof.* Let  $x \in X$ . Clearly  $\mathbb{R}_+$  is the union of closed sets  $A_n = \{t \in \mathbb{R}_+ : T_t x \in X_n\}$  for  $n \in \mathbb{Z}_+$ . By the Baire theorem, there is  $n \in \mathbb{Z}_+$  such that  $A_n$  contains an interval  $(a, b)$ . Now let  $f \in X'$  be such that  $X_n \subseteq \ker f$ . Then the function  $t \mapsto f(T_t x)$  vanishes on  $(a, b)$ . Since this function is analytic, it is identically 0. That is,  $f(T_t x) = 0$  for any  $t \in \mathbb{R}_+$  and any  $f \in X'$  vanishing on  $X_n$ . By the Hahn–Banach theorem,  $T_t x \in X_n$  for each  $t \in \mathbb{R}_+$ . Hence  $x$  is not cyclic for  $\{T_t\}_{t \in \mathbb{R}_+}$ . Since  $x$  is arbitrary,  $\{T_t\}_{t \in \mathbb{R}_+}$  is non-cyclic.  $\square$

Note that a countable locally convex direct sum of infinite dimensional Banach spaces may admit a hypercyclic operator [10]. This observation together with the above proposition make the following question more intriguing.

**Question 6.6.** *Let  $X$  be the locally convex direct sum of a sequence of separable infinite dimensional Banach spaces. Does  $X$  admit a hypercyclic strongly continuous semigroup  $\{T_t\}_{t \in \mathbb{R}_+}$ ?*

## 6.1 A question by Bermúdez, Bonilla, Conejero and Peris

Using [2, Theorem 2.2] and Theorem 2.9, one can easily see that if  $T$  is an extended backward shift on a separable infinite dimensional Banach space  $X$ , then both  $I + T$  and  $e^T$  are hereditarily hypercyclic. Clearly, an extended backward shift  $T$  has dense range and dense generalized kernel  $\ker^* T = \bigcup_{n=1}^{\infty} \ker T^n$ . The converse is not true in general. This leads to the following question.

**Question 6.7.** *Let  $T$  be a continuous linear operator on a separable Banach space, which has dense range and dense generalized kernel. Is it true that  $I+T$  and/or  $e^T$  are mixing or at least hypercyclic?*

This reminds of the following question [3] by Bermúdez, Bonilla, Conejero and Peris.

**Question B<sup>2</sup>CP.** *Let  $X$  be a complex Banach space and  $T \in L(X)$  be such that its spectrum  $\sigma(T)$  is connected and contains 0. Does hypercyclicity of  $I+T$  imply hypercyclicity of  $e^T$ ? Does hypercyclicity of  $e^T$  imply hypercyclicity of  $I+T$ ?*

We shall show that the answer to both parts of the above question is negative. Before doing this, we would like to raise a similar question, which remains open.

**Question 6.8.** *Let  $X$  be a Banach space and  $T \in L(X)$  be quasinilpotent. Is hypercyclicity of  $I+T$  equivalent to hypercyclicity of  $e^T$ ?*

If the answer is affirmative, then the following interesting question naturally arises.

**Question 6.9.** *Let  $T$  be a quasinilpotent bounded linear operator on a complex Banach space  $X$  and  $f$  be an entire function on one variable such that  $f(0) = f'(0) = 1$ . Is it true that hypercyclicity of  $f(T)$  is equivalent to hypercyclicity of  $I+T$ ?*

We introduce some notation. Let  $\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$ ,  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$ ,  $\mathcal{H}^2(\mathbb{D})$  be the Hardy Hilbert space on the unit disk and  $\mathcal{H}^\infty(\mathbb{D})$  be the space of bounded holomorphic functions  $f : \mathbb{D} \rightarrow \mathbb{C}$ . It is well-known that for  $\alpha \in \mathcal{H}^\infty(\mathbb{D})$ , the multiplication operator  $M_\alpha f(z) = \alpha(z)f(z)$  is a bounded linear operator on  $\mathcal{H}^2(\mathbb{D})$ . It is also clear that  $\sigma(M_\alpha) = \overline{\alpha(\mathbb{D})}$ .

Godefroy and Shapiro [16, Theorem 4.9] proved that if  $\alpha \in \mathcal{H}^\infty(\mathbb{D})$  is not a constant function, then the Hilbert space adjoint  $M_\alpha^*$  is hypercyclic if and only if  $\alpha(\mathbb{D}) \cap \mathbb{T} \neq \emptyset$ . Moreover, they proved hypercyclicity by means of applying the *Kitai Criterion* [20, 15], which automatically [17] provides hereditary hypercyclicity. Thus their result can be stated in the following form.

**Proposition 6.10.** *Let  $\alpha \in \mathcal{H}^\infty(\mathbb{D})$  be non-constant. Then  $M_\alpha^*$  is hereditarily hypercyclic if  $\alpha(\mathbb{D}) \cap \mathbb{T} \neq \emptyset$  and  $M_\alpha^*$  is non-hypercyclic if  $\alpha(\mathbb{D}) \cap \mathbb{T} = \emptyset$ .*

We show that the answer to both parts of Question B<sup>2</sup>CP is negative. Consider  $U \subset \mathbb{C}$ , being the interior of the triangle with vertices  $-1$ ,  $i$  and  $-i$ . That is,  $U = \{a + bi : a, b \in \mathbb{R}, a < 0, b - a < 1, b + a > -1\}$ . Next, let  $V = \{a + bi : a, b \in \mathbb{R}, 0 < b < 1, |a| < 1 - \sqrt{1 - b^2}\}$ . The boundary of  $V$  consists of the interval  $[-1 + i, 1 + i]$  and two circle arcs. Clearly,  $U$  and  $V$  are bounded, open, connected and simply connected. Moreover,  $(1 + U) \cap \mathbb{T} \neq \emptyset$ , where  $1 + U = \{1 + z : z \in U\}$  and  $e^U = \{e^z : z \in U\} \subseteq \mathbb{D}$ . Similarly,  $(1 + V) \cap \mathbb{D} = \emptyset$  and  $e^V \cap \mathbb{T} \neq \emptyset$ . By the Riemann Theorem [21], there exist holomorphic homeomorphisms  $\alpha : \mathbb{D} \rightarrow U$  and  $\beta : \mathbb{D} \rightarrow V$ . Obviously  $\alpha, \beta \in \mathcal{H}^\infty(\mathbb{D})$  and are non-constant. Since  $I + M_\alpha^* = M_{1+\alpha}^*$ ,  $e^{M_\beta^*} = M_{e^\beta}^*$  and both  $(1 + \alpha)(\mathbb{D}) = 1 + U$  and  $e^\beta(\mathbb{D}) = e^V$  intersect  $\mathbb{T}$ , Proposition 6.10 implies that  $I + M_\alpha^*$  and  $e^{M_\beta^*}$  are hereditarily hypercyclic. Since  $I + M_\beta^* = M_{1+\beta}^*$ ,  $e^{M_\alpha^*} = M_{e^\alpha}^*$ ,  $e^\alpha(\mathbb{D}) = e^U$  is contained in  $\mathbb{D}$  and  $(1 + \beta)(\mathbb{D}) = 1 + V$  does not meet  $\overline{\mathbb{D}}$ , Proposition 6.10 implies that  $e^{M_\alpha^*}$  and  $I + M_\beta^*$  are non-hypercyclic. Finally,  $\sigma(M_\alpha^*) = \overline{U}$  and  $\sigma(M_\beta^*) = -\overline{V}$ . Hence the spectra of  $M_\alpha^*$  and  $M_\beta^*$  are connected and contain 0. Thus we have arrived to the following result, which answers negatively the Question B<sup>2</sup>CP.

**Proposition 6.11.** *There exist bounded linear operators  $A$  and  $B$  on a separable infinite dimensional complex Hilbert space such that  $\sigma(A)$  and  $\sigma(B)$  are connected and contain 0,  $I + A$  and  $e^B$  are hereditarily hypercyclic, while  $e^A$  and  $I + B$  are non-hypercyclic.*

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